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## CERTIFICATE OF TRANSMISSION

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PATENT APPLICATION

Attorney Docket No.

D/9700511D

Cora M. Utley

(Typed or printed name of person signing this certificate)

Signature

## AMENDMENT TRANSMITTAL LETTER

|  |                                  |            |                                    |                        |      |                   |         |
|--|----------------------------------|------------|------------------------------------|------------------------|------|-------------------|---------|
| In re application of: Christopher Chua, et al.   |                                  |            |                                    |                        |      |                   |         |
| Application Number   | Filing Date                      | Examiner   |                                    | Group Art Unit         |      |                   |         |
| 09/933,960   | 8/20/2001                        | Unassigned |                                    | 2881                   |      |                   |         |
| Title: METHOD AND STRUCTURE FOR ELIMINATING POLARIZATION INSTABILITY IN<br>LATERALLY-OXIDIZED VCSELS   |                                  |            |                                    |                        |      |                   |         |
| TO THE COMMISSIONER FOR PATENTS:<br>Transmitted herewith is an amendment in the above-identified application. The fee has been<br>calculated as shown below. |                                  |            |                                    |                        |      |                   |         |
| CLAIMS AS AMENDED  |                                  |            |                                    |                        |      |                   |         |
| (1)  | (2)                              | (3)        | (4)                                | (5)                    | (6)  | (7)               |         |
|  | Claims Remaining<br>after Amend. | Minus      | Highest No. Previously<br>Paid For | No. of Extra<br>Claims | Rate | Additional<br>Fee |         |
| Total Claims   | 15                               | Minus      | 20                                 | =                      | 0    | x \$ 18           | \$ 0.00 |
| Incep. Claims  | 2                                | Minus      | 3                                  | =                      | 0    | x \$ 64           | \$ 0.00 |
| Total Additional Fee for this Amendment  |                                  |            |                                    |                        |      | \$ 0.00           |         |

- ☒ No additional fee is required.
- ☐ Charge \$ 0.00, to Deposit Account No. 24-0025. An additional copy of this sheet is enclosed.
- ☒ Please charge any additional fees under 37 CFR 1.16, 1.17, 1.21 and 1.136(a) (but not 1.18), or credit any overpayment, to Deposit Account No. 24-0025. An additional copy of this sheet is enclosed.
- ☒ This constitutes a request for any needed extension of time and an authorization to charge all fees therefor to the above deposit account, if not otherwise specifically requested. This is also an authorization under 37 CFR 1.136(a)(3) to treat any concurrent or future reply, requiring a petition for extension of time, as incorporating a petition for the appropriate extension of time.
- ☐ Additional papers enclosed:

Kant M. Chen

Signature Under: 37 CFR 1.33 & 34  
Registration No. 39,630  
Telephone No. 310-333-3663  
Date of Signature: May 30, 2003



# 6

PATENT APPLICATION  
Attorney Docket No. D/97005IID

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May 30, 2003

*Cora M. Utley*  
Cora M. Utley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Christopher Chua et al.      Date: May 30, 2003  
Serial No.: 09/933,960      Group: 2881  
Filed: August 20, 2001      Examiner: unassigned  
Title: **METHOD AND STRUCTURE FOR ELIMINATING POLARIZATION  
INSTABILITY IN LATERALLY-OXIDIZED VCSELS**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT AND RESPONSE**

In response to the Notice to File Corrected Application Papers mailed  
10/19/2001, Applicant respectfully files the following amendment and response.

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